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Influence of granulation and compression process variables on flow rate of granules and on tablet properties, with special reference to weight variation

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Summary

The influence of three independent fluidized bed granulation process variables (inlet air temperature, atomizing air pressure and binder solution amount) on the flow rate of granules and on the tablet properties was studied using the 3^3 factorial design. The dependence of the flow rate of granules, the tablet friability and the disintegration time of tablets on the fluidized bed granulation process variables was explained using the multilinear stepwise regression analysis. The flow time of the granules was affected by all three factors. The friability and the disintegration time of tablets were affected by the binder solution amount and the atomizing air pressure. The influence of inlet air temperature on the tablet responses was insignificant.

Introduction

Fluidized bed granulation is an important and extensively studied part of the tablet manufacture. The purpose of the process is mainly to increase the particle size and to improve the flowability of powders. Fluidized bed granulation itself is a complicated multivariate sub-process, with a large number of variables affecting the granule properties (Davies and Gloor, 1971; Aulton and Banks, 1978). Ultimately, these variables also affect many essential tablet properties. Several authors have shown the effects of process variables on the quality of granules and their compression properties (Rankell et al., 1964; Davies and Gloor, 1972; Gamlen et al., 1982; Veillard et al., 1982; Kocova El Arini and Polderman, 1983; Wan and Lim, 1990; De Jong, 1991). These studies did not, however, apply factorial designs together with the response surface methodology.

Some studies on the tablet compression process have used interesting factorial designs and regression analyses (Bos et al., 1991a,b; Gómez-Amoza, 1991). These authors used freely flowing, directly compressible tablet masses instead of pharmaceutical granules. However, there are still some papers in which the tablet properties have been explained on the basis of granulation pro-

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cess variables using factorial approaches. Timmins et al. (1992) have applied these techniques in studying the influence of high shear granulation process on tablets and Niskanen and Yliruusi (1992) in studying the effect of fluid bed granulation process variables on tablet properties. Quite limited factorial designs have been applied in these studies, however.

In the present paper, the influence of three independent fluidized bed granulation process variables (inlet air temperature, atomizing air pressure and binder solution amount) on the flow rate of granules and tablet properties was studied using the 3^3 factorial experimental design. The dependences were explained using correlation analysis and multilinear stepwise regression analysis.

Materials and Methods

Study design

The study followed a 3^3 factorial experimental design. The inlet air temperature (*T*), atomizing air pressure (*p*) and binder solution amount (*m*) were used as independent variables. The normalized factor levels of the independent variables are presented in Table 1. In the 2^3 factorial points the granulations were made in duplicate and in the center point in quadruplicate. The total number of batches was 38.

Preparation of granules

Batches (3 kg) of 80 mesh lactose α -monohydrate (DMV, Veghel, The Netherlands) were granulated using a 20% water dispersion of polyvinylpyrrolidone (Kollidon[®] K25, BASF, Germany). As a marker drug 2% of anhydrous theophylline (Ph. Eur.) was added to each batch to be granulated.

TABLE 1

Levels of independent variables

Variable	Facto	r levels		Dimension				
	-1	0	+1					
Inlet air temperature (T) Atomizing air pressure (p)	40	50	60	(°C) (bar)				
Binder solution amount (m)	150	300	450	(g)				

The granules were prepared in an automated fluidized bed granulator (Glatt WSG 5, Glatt GmbH, Germany). The instrumentation and automation as well as the preparation of granules have been described previously (Merkku et al., 1992a,b, 1993a). After each granulation run the granules were sieved through a 2 mm sieve. The undersize fractions (< 2 mm) were used for flowability determinations and for tablet compression. The oversize fraction was always less than 3.1%.

Preparation of tablets

The granule samples (400 g) were mixed with 0.5% of magnesium stearate (Ph. Eur.) for 5 min in a Turbula mixer (System Schatz, Willy A. Bachhofen, Switzerland). During storage (approx. 24 h) and compression the room temperature ranged from 20 to 27°C and the relative humidity from 35 to 43%. Tablets were compressed with an instrumented eccentric tablet press (Korsch-EK0, Erweka Apparatebau, Germany) using flat-faced (ϕ 9 mm) punches. The compression force was 10 kN and the target weight of tablets was 335 mg. During compression the upper and lower forces were measured in each batch from 10 tablets.

Flowability and angle of repose

The flowability of the granules was determined by a Flow-Time and Cone Angle Testing Instrument (PharmaTest PTG, PharmaTest, Germany) using three parallel measurements. The flowability was expressed as the flow time (s) for a 100 ml granule sample to flow through an 8 mm orifice. The instrument also gives the angle of repose by measuring the height of the granule pile, which is formed on a circular platform of a fixed diameter (ϕ 100 mm) in flow rate determination.

Tablet properties

Weight variation and radial crushing strength The weight variation and the radial crushing strength were determined from 30 tablets using a laboratory scale (accuracy 0.1 mg) and a Schleuniger-2E Tablet Hardness Tester (Schleuniger and Co., Switzerland). *Friability* The friability of the tablets was determined from about 6 g of tablets. After weighing the tablets were rotated in a Roche Friabilator for 5 min. The friability was expressed as percentage loss due to abrasion or fracture.

Disintegration time The disintegration time of the tablets was measured from six tablets according to the European Pharmacopoeia at $37 \pm 1^{\circ}$ C using pure water as medium liquid.

Statistical analyses

Correlation analysis Correlation analysis (Barlett chi-square statistics) was performed on the data presented in Table 2 using SYSTAT v. 5.0 (SYSTAT Inc., U.S.A.). The response variables were: flow rate of granules, standard deviation of flow rate, angle of repose, standard deviation of angle of repose, mean weight of tablets, standard deviation of mean weight, crushing strength, standard deviation of crushing strength, tablet friability, disintegration time of tablets, standard deviation of disintegration time, upper compression force, lower compression force, standard deviation of lower compression force and R value expressed as $R = F_{lp}/F_{up}$.

Regression analysis In previous papers, Merkku et al. (1993a,b) used multilinear stepwise regression analysis in studying the effects of three independent fluidized bed granulation process variables on the granule size and granule friability. In this study, regression models were developed for flow rate of granules, tablet friability and disintegration time of tablets.

The initial regression model for three independent variables used in the present study was as follows:

$$Y_{1}(T,p,m) = a_{0} + a_{1}T + a_{2}p + a_{3}m + a_{4}Tp$$
$$+ a_{5}Tm + a_{6}pm + a_{7}T^{2} + a_{8}p^{2}$$
$$+ a_{9}m^{2} + a_{10}Tpm$$
(1)

where a_0, \ldots, a_{10} are the coefficients of a certain system. *T*, *p* and *m* denote the inlet air temperature, atomizing air pressure and binder solution amount, respectively.



Fig. 1. Weight variation of tablets at the 2^3 factorial points.

Each term included in the final regression model was tested with the *t*-test. Only significant terms (p < 0.05) were included in the model. Before statistical analysis the independent variables were normalized to -1, 0 and +1. The statistical analyses and the generation of the regression models were performed using SYSTAT v. 5.0 (SYSTAT Inc., U.S.A.).

Results and Discussion

Correlation analysis

Flow rate

The results show that flow rate was a very effective parameter because it correlated (p < 0.001) very highly with the standard deviations of mean weight and upper and lower compression forces, and quite highly with the R value (p < 0.005). All these correlations are readily understood. If the granules do not flow freely into the die, there will be variation in weight and, most certainly, also in compression forces. An essential point here is that standard deviations of the compression forces can be used as a direct indicator of granule flow. This observation is more important because the flow time did not have any significant effect on the mean weight of the tablets.

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0	4	0.927	0.917	0.922	0.905	0.916	0.924	0.922	0.920	0.927	0.924	0.927	0.915	0.928	0.918	0.923	0.925	0.926	0.937	0.907	0.925	0.929	0.932	0.930	0.934	0.934	0.920	0.922	0.923	0.917	0.920	0.927	0.927	0.923	0.924	0.923	0.919	0.906	0.925
	,	0.13	0.29	0.26	0.28	0.13	0.18	0.13	0.18	0.11	0.09	0.11	0.12	0.10	0.13	0.33	0.11	0.05	0.09	0.12	0.08	0.08	0.09	0.08	0.15	0.08	0.18	0.10	0.21	0.27	0.26	0.11	0.09	0.17	0.14	0.12	0.10	0.14	0.12
1	4.	9.47	9.27	9.55	9.22	9.39	9.17	9.10	9.33	9.28	9.10	9.10	8.99	9.53	9.05	60.6	9.11	9.17	9.50	8.97	9.46	9.18	9.45	9.61	9.58	9.14	9.23	9.12	8.97	9.03	9.03	9.15	9.17	9.41	9.21	9.16	9.06	9.30	9.34
E		0.14).32	0.29).32	0.15	0.20	0.13	.19	0.11	0.09).11	0.13).12	D.14).36).11).06	0.10),14	90°C	0.09	0.0	00°C	0.15	0.10	0.19).11	0.23).30	0.28	0.14	0.09	0.18	0.16	0.13	0.10).15	0.12
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Disinterestin	time (min)	7	5	8	12	11	7	10	10	7	×	10	13	12	4	6	12	6	10	10	11	11	11	7	11	11	8	7	8	10	12	6	8	13	7	1	10	14	13
Deinhiliter	гналиу (%)	3.5	4.3	2.9	2.5	2.9	3.7	2.5	2.4	3.5	2.8	3.0	2.8	2.3	4.2	3.7	2.8	3.7	2.8	2.8	2.7	2.5	2.9	3.2	2.7	2.8	3.5	3.1	2.8	3.0	2.4	3.8	3.2	3.8	3.7	2.9	3.0	2.0	1.2
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Cambian	CI usining strength (N)	44	34	48	56	39	37	56	50	44	40	50	45	50	29	37	48	39	44	49	48	55	40	36	43	40	38	34	51	45	47	34	41	45	37	45	43	64	39
5	10	0:1	1.2	1.6	1.8	2.1	1.0	1.3	2.6	0.8	0.5	0.7	1.1	1.0	1.2	2.1	2.0	1.0	0.8	2.7	1.7	0.9	0.8	0.7	0.7	1:2	1.4	1.6	3.3	1.8	2.7	0.6	2.0	2.9	2.6	0.7	1.5	2.8	1.6
Moon	weight (mg)	336	337	330	340	334	331	333	333	333	335	332	334	338	328	331	330	335	335	330	333	336	333	335	335	336	334	331	340	334	337	333	331	328	332	335	332	342	331
65	n,	0.3	0.8	1.0	0.2	0.1	1.3	0.4	0.8	0.4	1.0	0.7	0.2	0.4	0.2	0.8	0.1	0.1	0.5	0.1	0.6	0.4	0.4	0.6	0.1	0.9	0.5	0.7	0.5	0.9	0.5	0.7	0.2	0.2	0.3	0.6	0.1	0.9	0.4
Andree	Angle 01 repose (°)	32.0	31.4	31.7	30.8	31.0	31.3	31.2	30.9	31.7	31.6	31.6	31.6	31.8	32.8	32.1	32.1	31.6	31.2	31.8	32.0	31.9	31.2	31.3	31.8	30.9	31.7	32.3	31.8	31.1	33.0	31.3	31.6	31.6	32.1	32.0	31.9	32.9	32.0
43	n.	0.1	0.1	0.5	0.3	0.2	0.1	0.2	0.1	0.2	0.3	0.1	0.1	0.1	0.3	0.3	0.1	0.3	0.2	0.2	0.1	0.2	0.1	0.2	0.2	0.1	0.1	0.1	0.5	0.3	0.4	0.1	0.1	0.1	0.1	0.2	0.1	0.1	0.1
	riuw rate (s)	12.0	11.9	13.0	13.2	12.8	12.1	12.1	12.6	12.0	11.7	11.8	12.0	12.2	12.1	12.3	12.7	11.8	11.7	11.7	11.5	11.9	11.7	11.8	12.0	11.7	11.8	12.0	12.9	12.7	12.9	11.6	11.8	12.0	11.7	11.8	12.0	12.4	11.8
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Flowability of granules and physical properties of tablets (T, inlet air temperature; p, atomizing air pressure; m, binder solution amount) **TABLE 2**

Weight variation

The standard deviation of the tablet weight had the highest correlations with the above mentioned flow rate and, surprisingly, with the Rvalue (p < 0.001). The other high correlations (p < 0.02) were in the following descending order: standard deviations of compression force, disintegration time and crushing strength. The results here suggest that the R value as well as the standard deviations of the compression force may be useful in studying and minimizing the weight variation. Naturally, this observation will require furher studies with other materials. When a larger amount of binder was used, the mean tablet weight was larger irrespective of the atomizing air pressure and inlet air temperature (Fig. 1). This is obviously due to the higher density of the granules.

Crushing strength

The crushing strength of the tablets showed two very high – but quite trivial – dependences on the friability and disintegration time (p < 0.001). It was also observed that the crushing strength increased with increasing binder amount (Fig. 2) irrespective of the inlet air temperature and atomizing air pressure. According to the analysis of variance, the binder amount was the only factor that affected the crushing strength of tablets (Table 3). This means that the use of a large amount of binder yields a larger number of



Fig. 2. Crushing strength of tablets at the 2³ factorial points.

TABLE 3

Summary of the analysis of variance

Source	Flow rate	Friability	Disintegration time	Crushing strength
T	с	_	_	_
р	а	_	а	-
m.	а	а	а	c
$T \cdot p$	_	-	_	-
$T \cdot m$	-	_	_	-
$p \cdot m$	c	-	-	~
T∙p∙m	-	-	с	-

Level of significance ${}^{a} p < 0.001$, ${}^{b} p < 0.01$ and ${}^{c} p < 0.05$. (-) Difference between the probability values is insignificant (p > 0.05).

bondings between the particles and, consequently harder granules and tablets.

R value

The R value had the next highest correlations (in descending order): standard deviation of mean weight, flow rate, standard deviations of the upper and lower forces and lower compression force.

It is once again suggested that especially the standard deviations of the compression forces can be used as an indicator of granule flow. The standard deviations of the compression forces and the R value may be useful parameters in indicating weight variation, although additional studies with other materials will be required to show the general usefulness of these parameters. It should be noted that the upper force alone was not able to explain the granule flow.

Regression models

Regression models were generated by using all (total 38) experimental points. The final regression models had the following forms:

Flow rate of granules

$$Y_2(T,p,m) = 0.204T^2 + 0.270p^2 - 0.170pm$$
$$-0.285p + 0.246m + 11.77$$
(2)





Fig. 3. Tablet friability at the 2^3 factorial points.

where $Y_2(T,p,m)$ is the flow rate, T denotes the inlet air temperature, p is the atomizing air pressure and m represents the binder amount. The squared multiple regression coefficient of the model was 0.751. The model showed directly that the flow time of granules was non-linearly dependent on the inlet air temperature and pressure.

The three-dimensional surface plots (Fig. 5a-c) have been drawn on the basis of the model by assigning a constant value to one of the variables T, p or m using Graftool v. 3.3 (Graphical Analysis System, 3-D Visions Corp., U.S.A.).

Effect of temperature The inlet air temperature did not have any clear effect on the flow rate of the granules. The most important factors were the atomizing air pressure and the binder solution amount (Table 3). The shape of the response



Fig. 4. Disintegration time of tablets at the 2^3 factorial points.

surface is in each level similar, with approximately the same maxima and minima. The best flowing granules were obtained when a small amount of binder (150 g) was used (Fig. 5a). The effect of atomizing air pressure was more complicated. A change from 1.0 to 1.7 bar decreased, but a change from 1.7 to 2.0 bar increased the flow rate. At higher pressures the proportion of better wetting droplets evidently increases significantly, and larger granules with longer flow time are formed.

Effect of atomizing air pressure The atomizing air pressure had only a slight effect on the flow rate of the granules. The response surface had a parabolic structure in each level. Again, the fastest flowing granules were achieved when a small amount of binder (150 g) was used (Fig. 5b). A change in temperature from 40 to 50°C decreased, but a change from 50 to 60°C increased the flow rate. The decrease might be due to the changes in viscosity of the binder solution and to drying of the binder droplets. The flow rate increased at higher temperatures, which might be due to an increase in the amount of low viscous, better wetting droplets.

Effect of binder solution amount The amount of binder solution affected significantly the flow rate of granules at high inlet air temperatures and at low atomizing air pressure. The response surface is highly curved (Fig. 5c) due to the quadratic terms of T and p.

Friability of tablets

$$Y_3(p,m) = -0.219p - 0.465m + 3.008$$
(3)

where $Y_3(p,m)$ is the friability of tablets. The squared multiple regression coefficient was now only 0.495.

The model Y_3 shows directly that the inlet air temperature did not affect the tablet friability. The factor *m* was clearly the most dominating factor (Table 3). In this case, the response surface is very stable without local maxima and minima. When a high binder amount (450 g) and a high atomizing air pressure (2 bar) were used, the tablets had the lowest friability percentage (Figs 3 and 6). The most friable tablets were achieved with a low binder amount (150 g) and a low





Fig. 6. Dependence of tablet friability on binder amount and atomizing air pressure.

atomizing air pressure (1 bar). This might be due to the large droplet size which causes improper wetting of powder particles. The friability percentage decreased when the binder solution amount was large irrespective of the inlet air temperature and atomizing air pressure (Fig. 3). This may be due to the good wetting of the mass. Model Y_3 has a rather low squared multiple R. It is expected that the use a greater number of friability determinations would increase the squared multiple regression coefficient. This should be tested in the future.

Disintegration time

$$Y_4(p,m) = 0.769p + 2.500m + 9.368 \tag{4}$$

where $Y_4(p,m)$ is the disintegration time of tablets. The squared multiple regression coefficient was 0.784.

This model showed again that the inlet air temperature did not affect the tablet response. Factors m and p were the most important (Table 3). The response surface is also very stable in this

Fig. 5. (a) Effect of inlet air temperature (50°C) on the flow time of granules. (b) Effect of atomizing air pressure (1.5 bar) on the flow time of granules. (c) Effect of binder amount (300 g) on the flow time of granules.





Fig. 7. Dependence of disintegration time on binder amount and atomizing air pressure.

case (Fig. 7). The disintegration time increases systematically with increasing binder amount (Fig. 4). The effect of atomizing air pressure is similar (Fig. 7). When a high atomizing air pressure with a large amount of binder is used, the smaller droplets form more bondings between the powder particles and produce harder granules and tablets. These results agree with the friability results.

This study proved that the flow rate of granules was quite well explained by the independent variables. The development of regression models for the tablet responses was more complicated. The inlet air temperature did not affect the tablet responses. It can be concluded that tablet compression is a robust process, which hinders most of the effects of independent granulation process variables as also suggested by Niskanen and Yliruusi (1992). However, the disintegration time of tablets was still reasonably well explained by the regression model.

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